

## Lemma 7 (Di Pietro & Ern 2012, Carstensen & Funken 2000)

Given a simplex  $T$  in  $\mathbb{R}^d$ ,  $d = 2, 3$ , we write  $F \subset \partial T$  to denote one of its faces. Then, given  $v \in H^1(T)$ , the following inequality holds:

$$\|v\|_{L^2(F)}^2 \leq C_t \frac{|F|}{|T|} \left( \|v\|_{L^2(T)}^2 + h_T \|v\|_{L^2(T)} \|\nabla v\|_{L^2(T)} \right),$$

where  $C_t$  is a positive constant which depends on  $d$ , but is independent of  $v$ ,  $h_T$ ,  $|T|$ ,  $|F|$ , and the shape-regularity of  $T$ .

We write  $\tilde{\Pi}_p v = \Pi_p(\mathfrak{E}v|_{\mathcal{K}})|_{\kappa}$ .

- $\Pi_p$ : Projector on  $\mathcal{K}$  (standard element shape).
- $\mathfrak{E}$ : Extension operator.

## Lemma 8

Let  $\kappa \in \mathcal{T}_h$ ,  $F \subset \partial\kappa$  denote one of its faces, and  $\mathcal{K} \in \mathcal{T}_h^\#$  be the  $d$ -simplex, such that  $\kappa \subset \mathcal{K}$ . Suppose that  $v \in L^2(\Omega)$  is such that  $\mathfrak{E}v|_{\mathcal{K}} \in H^{l_\kappa}(\mathcal{K})$ , for some  $l_\kappa \geq 0$ . Then, given Assumption 3 is satisfied, the following bounds hold

$$\|v - \tilde{\Pi}_p v\|_{H^q(\kappa)} \leq C_{I,3} \frac{h_\kappa^{s_\kappa - q}}{p^{l_\kappa - q}} \|\mathfrak{E}v\|_{H^{l_\kappa}(\mathcal{K})}, \quad l_\kappa \geq 0,$$

for  $0 \leq q \leq l_\kappa$ , and

$$\|v - \tilde{\Pi}_p v\|_{L^2(F)} \leq C_{I,4} |F|^{1/2} \frac{h_\kappa^{s_\kappa - d/2}}{p^{l_\kappa - 1/2}} C_m(p, \kappa, F)^{1/2} \|\mathfrak{E}v\|_{H^{l_\kappa}(\mathcal{K})}, \quad l_\kappa > d/2,$$

where

$$C_m(p, \kappa, F) = \min \left\{ \frac{h_\kappa^d}{\sup_{\kappa_b^F \subset \kappa} |\kappa_b^F|}, p^{d-1} \right\},$$

$s_\kappa = \min\{p + 1, l_\kappa\}$  and  $C_{I,3}$  and  $C_{I,4}$  are positive constants, which depend on the shape-regularity of  $\mathcal{K}$ , but are independent of  $v$ ,  $h_\kappa$ , and  $p$ .

To prove the first bound, we note that

$$\|v - \tilde{\Pi}_p v\|_{H^q(\kappa)} = \|\mathfrak{E}v - \Pi_p(\mathfrak{E}v)\|_{H^q(\kappa)} \leq \|\mathfrak{E}v - \Pi_p(\mathfrak{E}v)\|_{H^q(\mathcal{K})}.$$

Thereby, upon application of Lemma 6 and noting that Assumption 3 holds, the desired bound follows immediately with  $C_{I,3} = C_{I,1} C_{\text{diam}}^{s_\kappa - q}$ .

To prove the second bound, we recall that  $\kappa_b^F \subset \kappa$ ; then, applying Lemma 7, together with the first bound, we obtain

$$\begin{aligned} \|v - \tilde{\Pi}_p v\|_{L^2(F)}^2 &\leq C_t \frac{|F|}{|\kappa_b^F|} \left( \|v - \tilde{\Pi}_p v\|_{L^2(\kappa_b^F)}^2 \right. \\ &\quad \left. + h_{\kappa_b^F} \|v - \tilde{\Pi}_p v\|_{L^2(\kappa_b^F)} \|\nabla(v - \tilde{\Pi}_p v)\|_{L^2(\kappa_b^F)} \right) \\ &\leq C_t C_{I,1}^2 C_{\text{diam}}^{2s_\kappa - 1} \frac{|F|}{|\kappa_b^F|} \left( C_{\text{diam}} \frac{h_\kappa}{p} + h_{\kappa_b^F} \right) \frac{h_\kappa^{2s_\kappa - 1}}{p^{2l_\kappa - 1}} \|\mathfrak{E}v\|_{H^{l_\kappa}(\mathcal{K})}^2. \end{aligned}$$

Given that  $h_{\kappa_b^F} \leq h_\kappa$  and  $\kappa_b^F$  is arbitrary, we conclude that

$$\|v - \tilde{\Pi}v\|_{L^2(F)}^2 \leq C_t C_{I,1}^2 C_{\text{diam}}^{2s_\kappa-1} (C_{\text{diam}} + 1) \frac{|F|}{\sup_{\kappa_b^F \subset \kappa} |\kappa_b^F|} \frac{h_\kappa^{2s_\kappa}}{p^{2l_\kappa-1}} \|\mathfrak{E}v\|_{H^{l_\kappa}(\mathcal{K})}^2.$$

On the other hand, proceeding as in the proof of the inverse inequality, we observe that

$$\|v - \tilde{\Pi}_p v\|_{L^2(F)}^2 \leq |F| \|v - \tilde{\Pi}_p v\|_{L^\infty(F)}^2.$$

Hence, employing the definition of  $\tilde{\Pi}_p$ , together with Lemma 6 and Assumption 3, we deduce that

$$\|v - \tilde{\Pi}_p v\|_{L^2(F)}^2 \leq C_{I,2}^2 C_{\text{diam}}^{2s_\kappa-d} |F| \frac{h_\kappa^{2s_\kappa-d}}{p^{2l_\kappa-d}} \|\mathfrak{E}v\|_{H^{l_\kappa}(\mathcal{K})}^2, \quad \text{for } l_\kappa > d/2.$$

Thereby, taking the minimum of the two bounds, gives the desired result.

## DGFEMs on Polytopic Meshes I: Elliptic PDEs

### Error Analysis I: Bounded number of element faces

## Poisson's Equation

Given  $\Omega \subset \mathbb{R}^d$ ,  $d = 2, 3$ , and  $f \in L_2(\Omega)$ : find  $u$  such that

$$-\Delta u = f \quad \text{in } \Omega, \quad u = 0 \quad \text{on } \partial\Omega.$$

- To each element  $\kappa \in \mathcal{T}_h$ , we associate a local polynomial degree  $p_\kappa \geq 1$ , and collect the  $p_\kappa$ ,  $\kappa \in \mathcal{T}_h$ , in the vector  $\mathbf{p} := (p_\kappa : \kappa \in \mathcal{T}_h)$
- Finite element space:

$$V^{\mathbf{p}}(\mathcal{T}_h) := \{u \in L^2(\Omega) : u|_\kappa \in \mathcal{P}_{p_\kappa}(\kappa), \kappa \in \mathcal{T}_h\},$$

where,  $\mathcal{P}_p(\kappa)$  denotes the space of polynomials of total degree  $p$  on  $\kappa$ .

- By construction the local elemental polynomial spaces employed within of  $V^{\mathbf{p}}(\mathcal{T}_h)$  are defined in the physical space, **without** the need to map from a given reference or canonical frame



## SIP-DGFEM

Find  $u_h \in V^{\mathbf{P}}(\mathcal{T}_h)$  such that

$$B_d(u_h, v_h) = \ell(v_h) \quad \forall v_h \in V^{\mathbf{P}}(\mathcal{T}_h),$$

where

$$\begin{aligned} B_d(u, v) &:= \sum_{\kappa \in \mathcal{T}_h} \int_{\kappa} \nabla u \cdot \nabla v \, dx \\ &\quad - \sum_{F \in \mathcal{F}_h^{\mathcal{I}} \cup \mathcal{F}_h^{\mathcal{B}}} \int_F (\{\nabla u\} \cdot \llbracket v \rrbracket + \{\nabla v\} \cdot \llbracket u \rrbracket - \sigma \llbracket u \rrbracket \cdot \llbracket v \rrbracket) \, ds, \end{aligned}$$

and linear functional

$$\ell(v) := \sum_{\kappa \in \mathcal{T}_h} \int_{\kappa} f v \, dx - \sum_{F \in \mathcal{F}_h^{\mathcal{B}}} \int_F g(\nabla v \cdot \mathbf{n} - \sigma v) \, ds.$$

- Since the DGFEM is not well-defined for functions in  $H^1(\Omega)$ , we consider an extension of  $B_d(\cdot, \cdot)$  and  $\ell(\cdot)$  (inhomogeneous case).
- Define  $\Pi_{L^2} : [L^2(\Omega)]^d \rightarrow [V^P(\mathcal{T}_h)]^d$  to denote the orthogonal  $L^2$ -projection onto  $[V^P(\mathcal{T}_h)]^d$ : given  $\mathbf{v} \in [L^2(\Omega)]^d$  we define  $\Pi_{L^2} \mathbf{v}$  by

$$\int_{\Omega} \Pi_{L^2} \mathbf{v} \cdot \mathbf{w} \, d\mathbf{x} = \int_{\Omega} \mathbf{v} \cdot \mathbf{w} \, d\mathbf{x} \quad \forall \mathbf{w} \in [V^P(\mathcal{T}_h)]^d.$$

- Define

$$\begin{aligned} \tilde{B}_d(\mathbf{w}, \mathbf{v}) &:= \sum_{\kappa \in \mathcal{T}_h} \int_{\kappa} \nabla \mathbf{w} \cdot \nabla \mathbf{v} \, d\mathbf{x} \\ &\quad - \sum_{F \in \mathcal{F}_h^{\mathcal{I}} \cup \mathcal{F}_h^{\mathcal{B}}} \int_F (\{\{\Pi_{L^2}(\nabla \mathbf{w})\}\} \cdot [\![\mathbf{v}]\!] + \{\{\Pi_{L^2}(\nabla \mathbf{v})\}\} \cdot [\![\mathbf{w}]\!] - \sigma [\![\mathbf{w}]\!] \cdot [\![\mathbf{v}]\!]) \, ds, \\ \tilde{\ell}(\mathbf{v}) &:= \sum_{\kappa \in \mathcal{T}_h} \int_{\kappa} f \mathbf{v} \, d\mathbf{x} - \sum_{F \in \mathcal{F}_h^{\mathcal{B}}} \int_F g(\Pi_{L^2}(\nabla \mathbf{v}) \cdot \mathbf{n} - \sigma \mathbf{v}) \, ds \end{aligned}$$

for all  $\mathbf{w}, \mathbf{v} \in \mathcal{V} := H^1(\Omega) + V^P(\mathcal{T}_h)$ .



## SIP-DGFEM

Find  $u_h \in V^{\mathcal{P}}(\mathcal{T}_h)$  such that

$$\tilde{B}_d(u_h, v_h) = \tilde{\ell}(v_h)$$

for all  $v_h \in V^{\mathcal{P}}(\mathcal{T}_h)$ .

- For  $w_h, v_h \in V^{\mathcal{P}}(\mathcal{T}_h)$ , we have

$$\tilde{B}_d(u_h, v_h) = B_d(u_h, v_h) \quad \tilde{\ell}(v_h) = \ell(v_h).$$

(equivalent reformulation of the DGFEM)

- The bilinear form  $\tilde{B}_d$  is inconsistent due to the discrete nature of the projection operator  $\Pi_{L^2}$  (Galerkin orthogonality no longer holds).