

**Sur Quelques  
Invariants des  
3-Variétés**

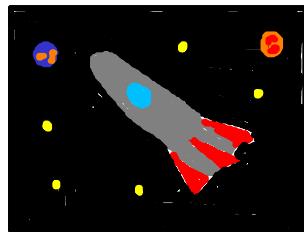
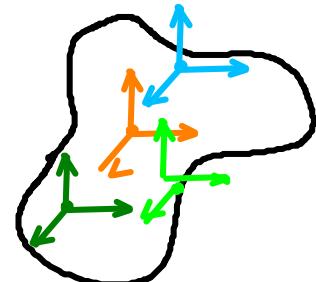
**ON SOME 3-MANIFOLD  
INVARIANTS**

**VERA VÉRTESI**  
**LMJ UN**

## 3- & 4- MANIFOLDS

Def: Manifolds are spaces that are locally Euclidian:

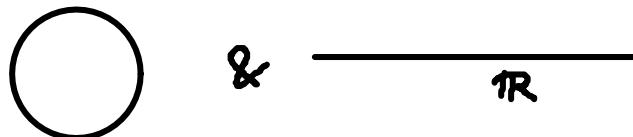
- e.g. - the Earth is a 2-dimensional manifold  
- the World is a 3-dimensional manifold



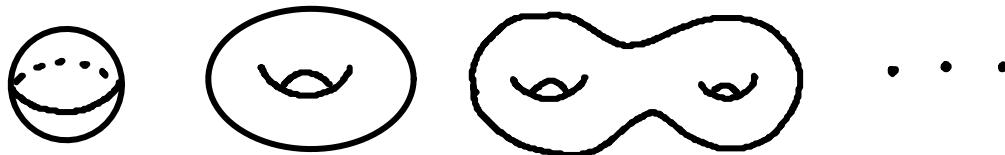
- spacetime is a 4-dimensional manifold

## Classification of manifolds

- 1-dimension:



- 2-dimensions:



- higher dimensions:

Poincaré conjecture:  $M^n$  simply connected,  $H_1(S^n) \cong H_1(M) \Rightarrow M^n$  homeomorphic to  $S^n$   
Perelman ( $n=3$ ), Freedman ( $n=4$ ), Smale ( $n \geq 5$ )

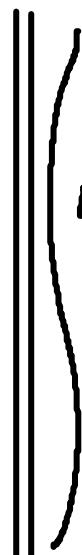
# MANY EQUIVALENT THEORIES

Donaldson invariants (1982) 4

Instanton Floer homology 3  
(Floer, 1988)

Seiberg-Witten invariants (1994)

monopole Floer homology 3  
(Kronheimer-Mrowka, 2007)



Katura - Lee - Taubes  
2010

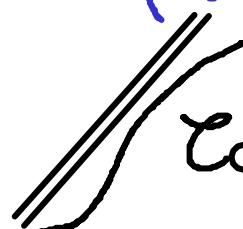
Heegaard Floer homology 3, 4  
(Ozsváth-Szabó, 2001)



Taubes 2008

Gromov - Taubes invariant 4  
(Taubes 199?)

embedded contact homology 3  
(Hutchings, 2010)



Colin - Ghiggini - Honda  
2011



# WHAT IS HEEGAARD FLOER THEORY ?

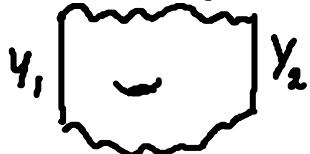
$\mathbb{F} = \mathbb{Z}$  or

$\mathbb{Z}/(2)$

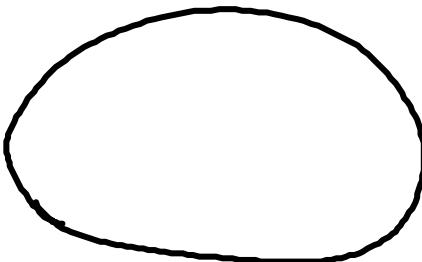
|                |                    |                |                                 |                              |
|----------------|--------------------|----------------|---------------------------------|------------------------------|
| $Y$ 3-manifold | $\rightsquigarrow$ | $\hat{HF}(Y)$  | graded $\mathbb{F}$ -module     |                              |
|                |                    | $HF^-(Y)$      | $\mathbb{F}[U]$ -module         |                              |
|                |                    | $HF^\infty(Y)$ | $\mathbb{F}[U, U^{-1}]$ -module | (determined<br>by $H^*(Y)$ ) |
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$W$  cobordism btwn  $\rightsquigarrow \hat{F}_w^{+,-,\infty} : \hat{HF}^{+,-,\infty}(Y_1) \rightarrow \hat{HF}^{+,-,\infty}(Y_2)$

3-manifolds



$X$  4-manifold



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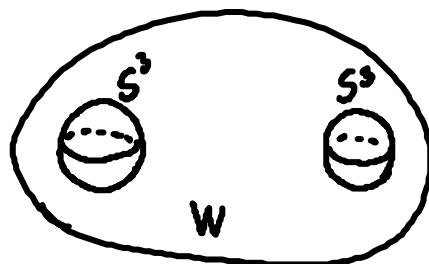
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$$F_w : \hat{HF}(S^3) \xrightarrow{\frac{\pi_*}{\mathbb{Z}}} \hat{HF}(S^3) \xrightarrow{\cdot n} \frac{\pi_*}{\mathbb{Z}}$$

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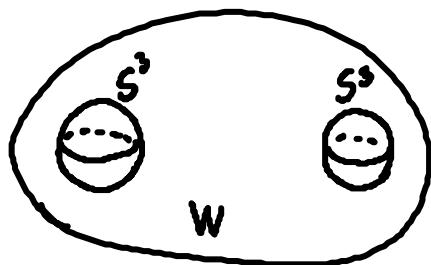
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$$F_w : \hat{HF}(S^3) \xrightarrow{\cong} \hat{HF}(S^3)$$

! This does not work!

# WHAT IS HEEGAARD FLOER THEORY ?

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3-manifolds



X 4-manifold  $\rightsquigarrow$  a number for every spin<sup>c</sup>-structure

K ⊂ Y knot  $\rightsquigarrow$   $\widehat{HFK}(Y, K)$  bigraded  $\mathbb{F}$ -module

$HFK^-(Y, K)$   $\mathbb{F}[U]$ -module

Focus:  $\widehat{HFK}(S^3, K)$  over  $\mathbb{Z}/(2)$

# Why is Heegaard Floer Theory Useful?

## Geometric content

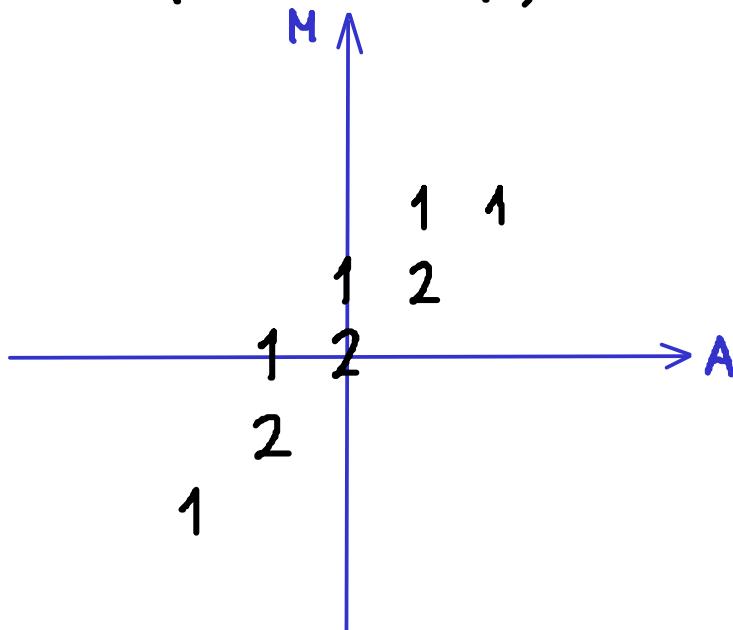
- Ozsváth-Szabó: Detects smooth structures on 4-manifolds
- Ozsváth-Szabó, Ni: Detects the genus of knots  
Thurston norm of 3-manifolds
- Ozsváth-Szabó, Ghiggini, Ni, Yuhász,...  
Detects fiberness of knots and 3-manifolds
- Ozsváth-Szabó,... Bounds the slice genus  
minimal class representatives of homology classes

## Computability

- defined using a PDE but sometimes can be combinatorial:
- Manolescu-Ozsváth-Sarkar:  $\widehat{HF}^-$  for knots
- Sarkar-Wang, Ozsváth-Stipsicz-Szabó:  $\widehat{HF}(Y)$ , easier version of  $\widehat{HF}^-$
- Manolescu-Ozsváth-Thurston:  $\widehat{HF}^{\pm\infty}$ , 4-manifold invariant

# HFK - WHAT WILL WE GET?

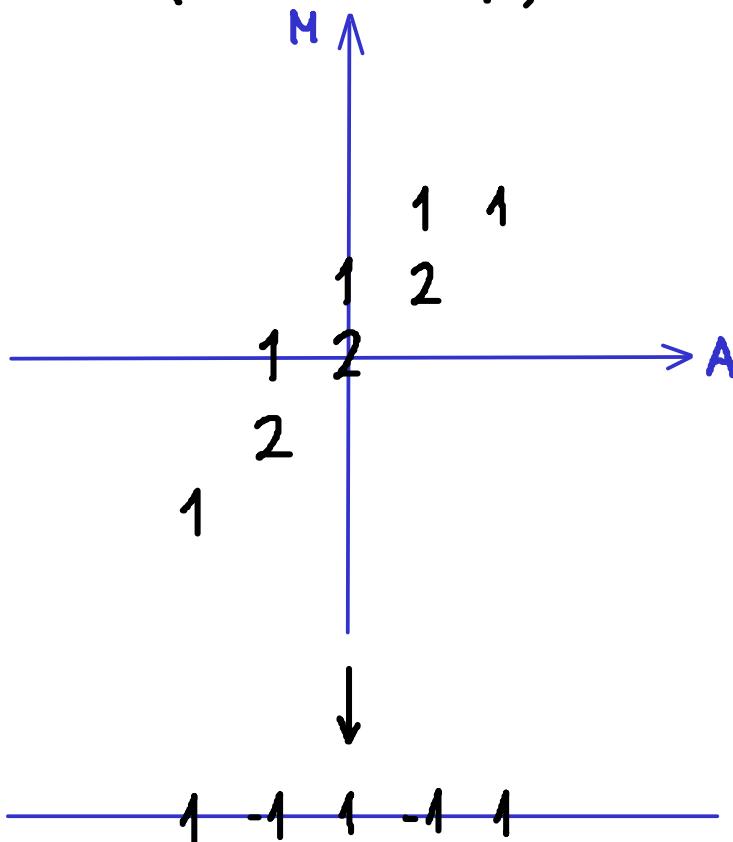
$\dim(\widehat{HFK}_M(K, A))$  (for  $K = 10_{192}$ )



- bigraded ;
- Euler characteristic is the Alexander polynomial ;
- Max grading is knot genus ;  
(Ozsváth-Szabó, 2001)
- Determines knot fibration  
(Ghiggini, Ni 2006)
- Gives an effective transverse & Legendrian knot invariant  
(Baldwin, Khandaqji, Lisca, Ng,  
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## HFK - WHAT WILL WE GET?

$\dim(\hat{HFK}_M(K, A))$  (for  $K = 10_{132}$ )

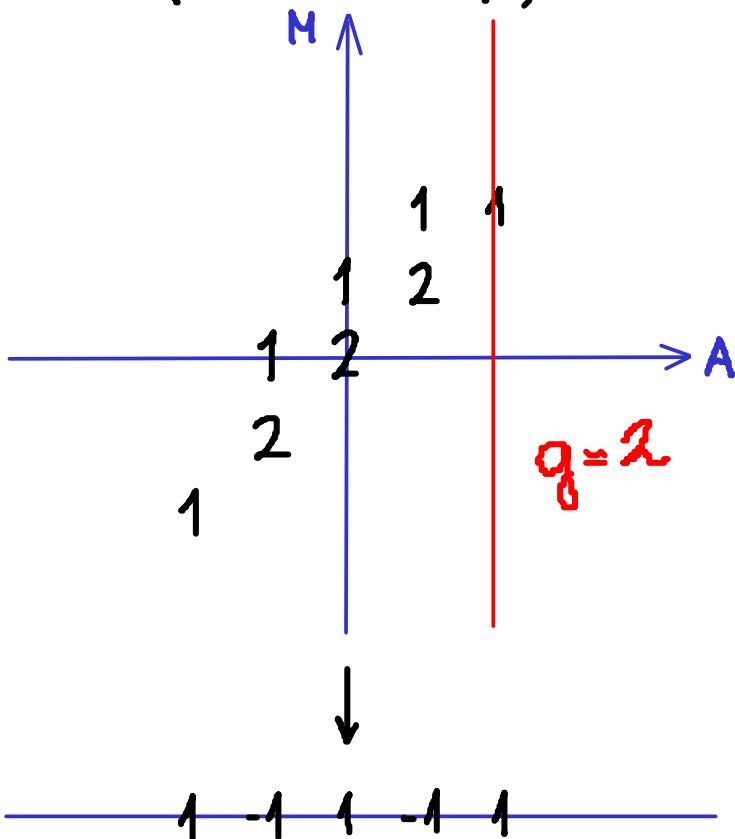


$$\Delta_K(t) = t^{-2} - t^{-1} + 1 - t + t^2$$

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# HFK - WHAT WILL WE GET?

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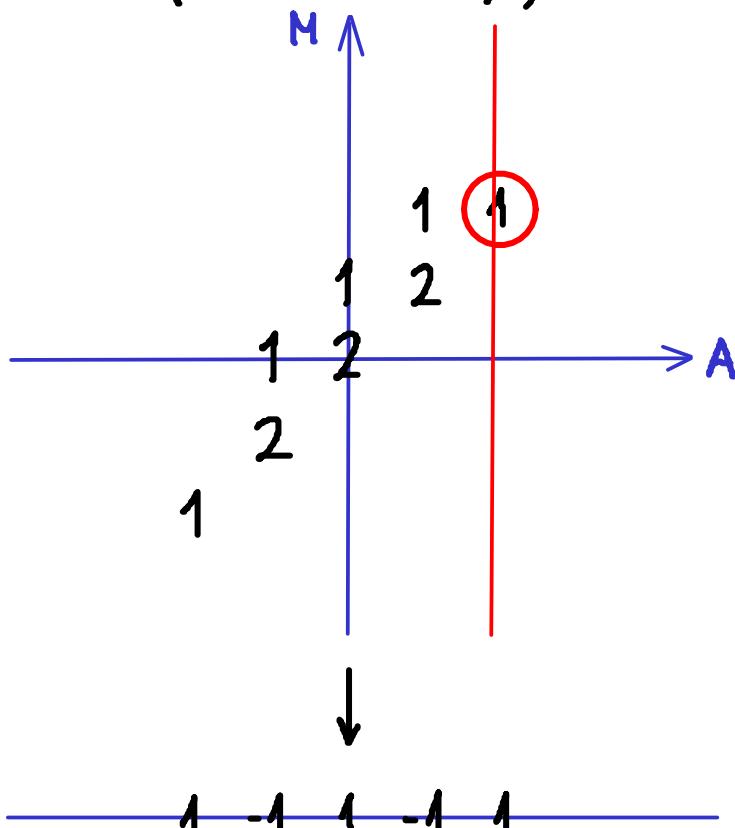


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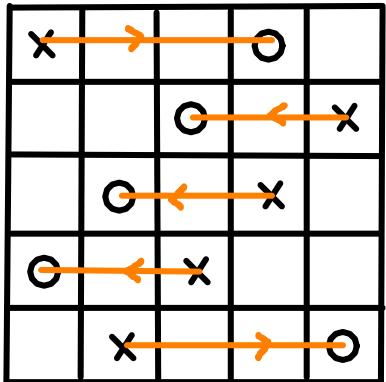
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## GRID DIAGRAMS FOR KNOTS

|   |   |   |   |   |
|---|---|---|---|---|
| x |   |   | o |   |
|   |   | o |   | x |
|   | o |   | x |   |
| o |   | x |   |   |
|   | x |   |   | o |

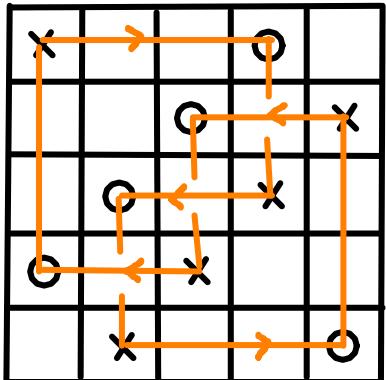
- every row/column contains one "X" and one "O"

## GRID DIAGRAMS FOR KNOTS



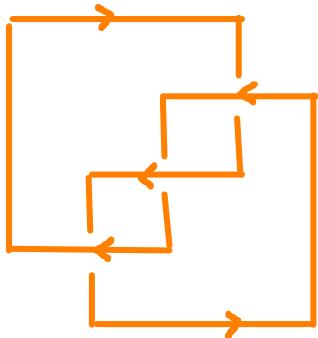
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- connect "X" to "O" horizontally

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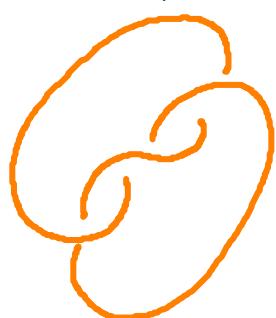
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- vertical strands are OVER the horizontal strands

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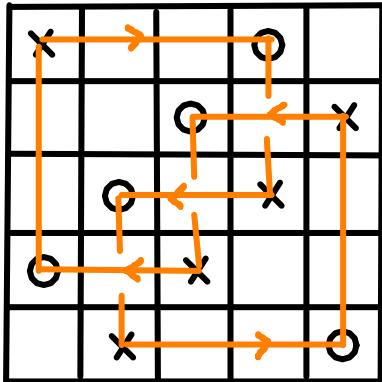


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- thus knot (or link) in  $\mathbb{R}^3$

115



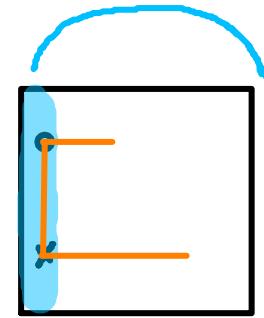
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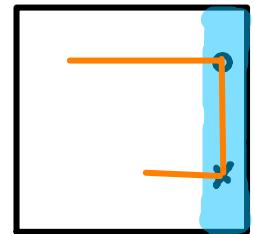
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## grid moves:

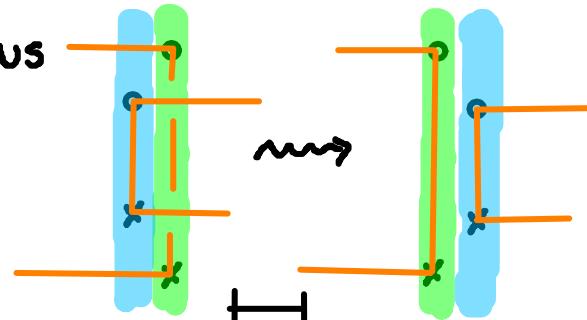
- cyclic permutation of columns and rows



↔

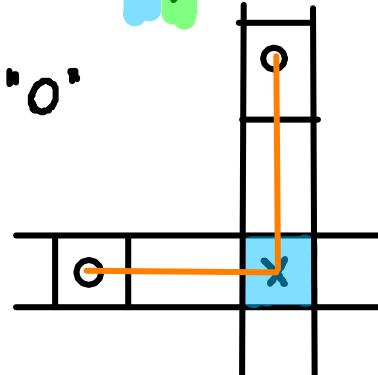


- commutation of columns and rows whose 'X's and 'O's do not overlap

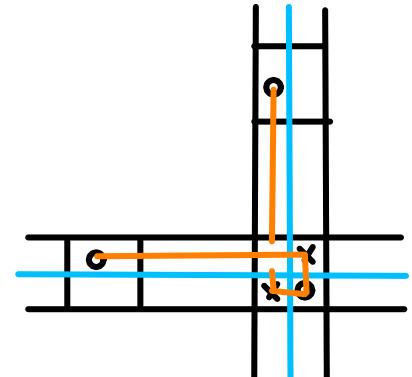


↔

- stabilization of an "X" or an "O"

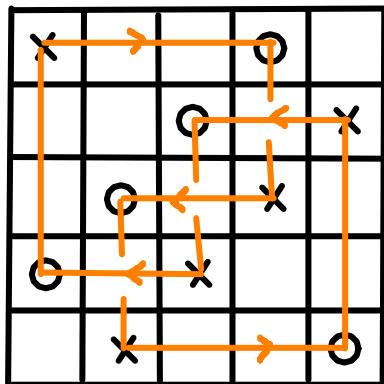


↔



these moves give isotopic knots

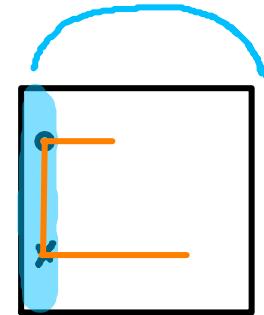
# GRID DIAGRAMS FOR KNOTS



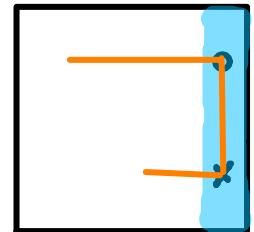
- every row/column contains one "X" and one "O"
  - connect "X" to "O" horizontally
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## grid moves:

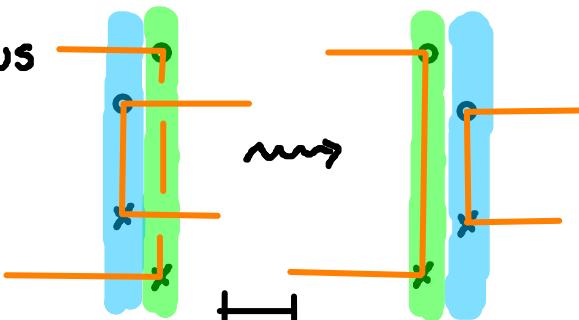
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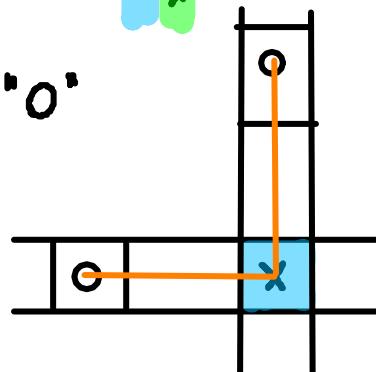


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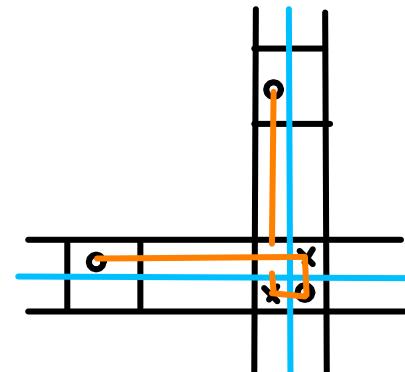


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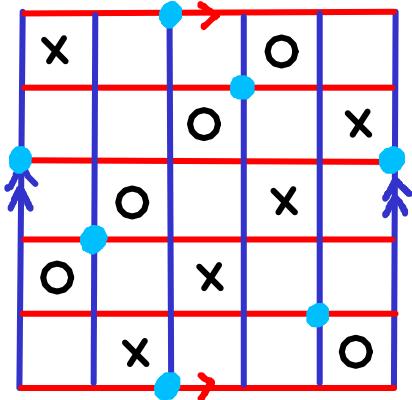
thus



Thm (Cromwell , Dynnikov) two grid diagrams define isotopic knots if they are related by a sequence of grid moves.

## KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram  
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

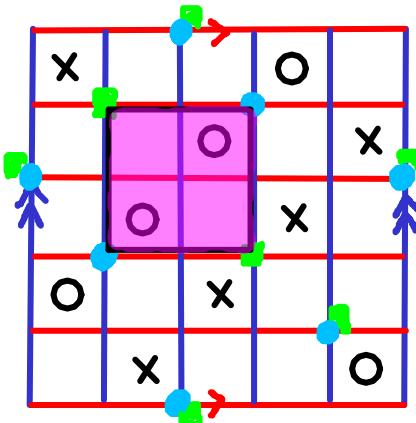
generators: N-tuples of intersection points such that

- every horizontal line contains 1 point
- every vertical line contains 1 point

$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_N(G)$$

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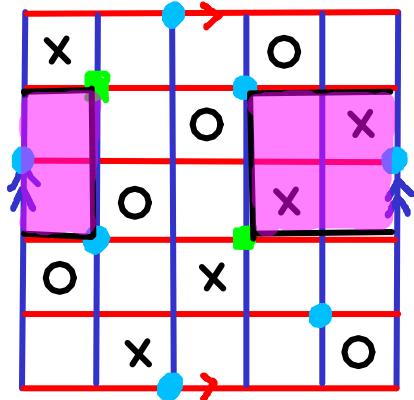
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differential:  $\widehat{\partial} : \widehat{CFK}(G) = \bigoplus \widehat{CFK}_N(G) \rightarrow \cdot^{-1}$  given by empty rectangles:

- and ■ differs in exactly 2 coordinates
- they span 4 rectangles on the torus

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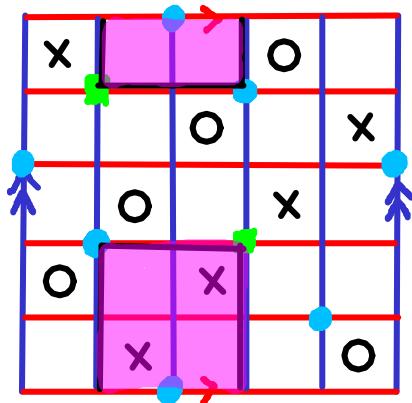
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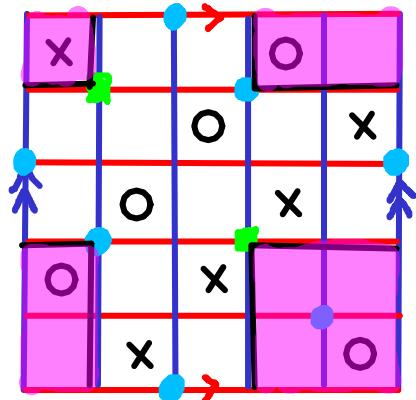
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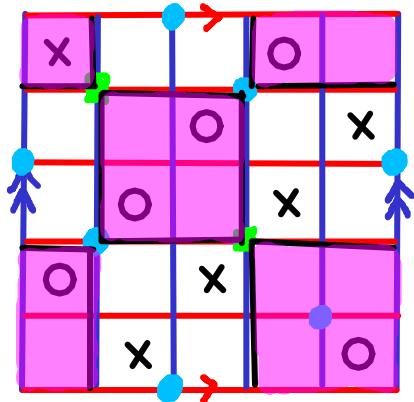
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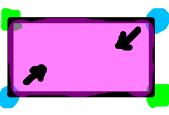
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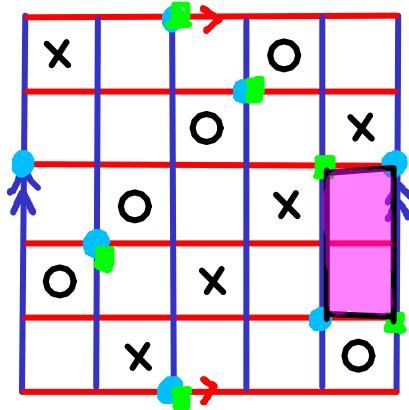
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- and  $\star$  differs in exactly 2 coordinates
- they span 4 rectangles on the torus
- 2 of which goes from  $\bullet$  to  $\star$ :  the other 2 from  $\star$  to  $\bullet$ : 
- a rectangle is empty if there is no "X", "O", other point  $\bullet = \star$  in its interior

$$\widehat{\partial}_x = \sum_{\exists \text{ empty rectangle } x \rightarrow y} y$$

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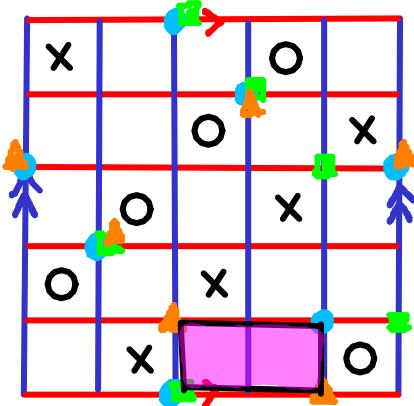
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e.g.  $\widehat{\partial} \bullet = ■$

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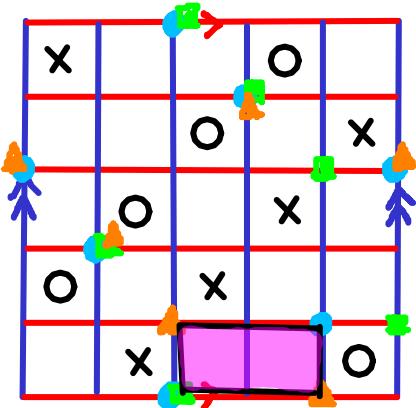
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- 2 of which goes from  $\bullet$  to  $\bullet$ :
- the other 2 from  $\bullet$  to  $\bullet$ :
- a rectangle is empty if there is no "X", "O", other point  $\bullet = \bullet$  in its interior

$$\widehat{\partial} \underline{x} = \sum_{\exists \text{ empty rectangle } z \rightarrow x} y$$

e.g.  $\widehat{\partial} \bullet = \bullet + \bullet$

## KNOT FLOER HOMOLOGY

Strategy: define a homology for any grid diagram  
then prove it is independent of grid moves



- identify the left and right, and top and bottom of the grid

generators: N-tuples of intersection points such that

- every horizontal line contains 1 point
- every vertical line contains 1 point

$$\widehat{CFK}(G) = \bigoplus \widehat{CFK}_N(G)$$

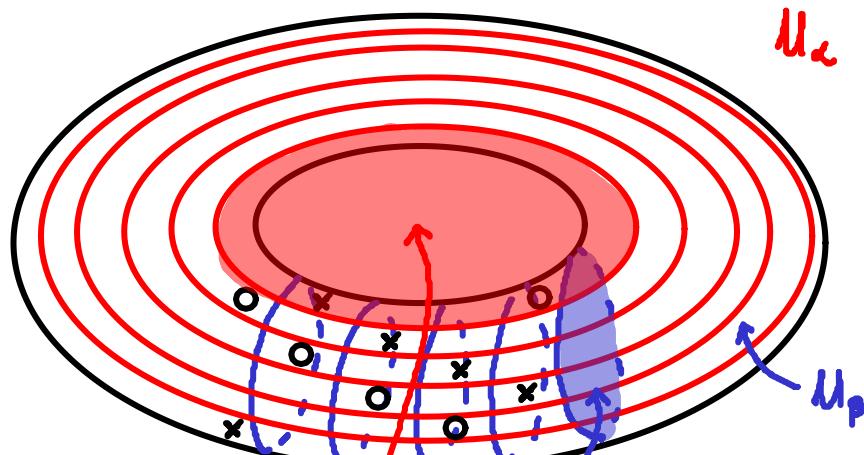
differential:  $\widehat{\partial} : \widehat{CFK}(G) = \bigoplus \widehat{CFK}_N(G) \rightarrow \text{-1}$  given by empty rectangles:

- and ■ differs in exactly 2 coordinates
- they span 4 rectangles on the torus
- 2 of which goes from • to ■ :  the other 2 from ■ to • 
- a rectangle is empty if there is no "X", "O", other point • = ■ in its interior

$$\widehat{\partial}_x = \sum_{\exists \text{ empty rectangle } z \rightarrow y} y$$

Thm (Manolescu - Ozsváth - Sarkar)  $H_*(\widehat{CFK}, \widehat{\partial})$  gives an invariant of K

## GENERALIZATION



Heegaard decomposition of  $S^3$   
 $\alpha$ -curve      bound discs in  $U_\alpha$

$\beta$ -curve      bound disc in  $U_\beta$

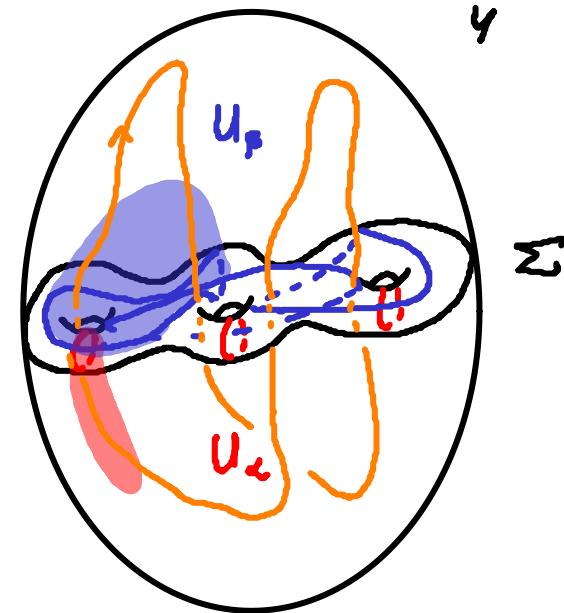
$\times$  + intersection of  $K$  w/  $T^2$

$o$  + intersection of  $K$  w/  $T^2$

generators: N-tuples of  $\alpha$  &  $\beta$   
 curves; one on each

boundary map: rectangles

Ihm (Ozsváth-Szabó): the homology of  $\Sigma$  gives an invariant  $\widehat{HF}(Y, \kappa)$



Heegaard decomposition of  $Y$

$\alpha$ -curves on  $\Sigma$

$\beta$ -curves on  $\Sigma$

one X and one O

in each comp of  $\Sigma - \alpha$  &  $\Sigma - \beta$



holomorphic curves in  
 $\Sigma \times D^2$

Merci de  
votre  
Attention !

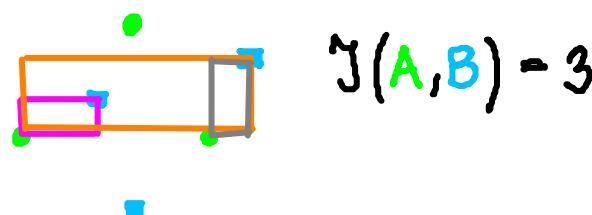
## GRADINGS

Remember:  $\hat{\partial}$  removed an inversion



Notation  $\mathbb{Y}(A, B) := \#\left\{ \begin{smallmatrix} b \\ a \end{smallmatrix} : a \in A, b \in B \right\}$

e.g.:



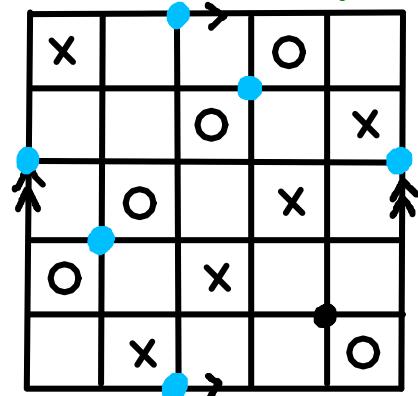
$$\mathbb{Y}(A - B, C) := \mathbb{Y}(A, C) - \mathbb{Y}(B, C)$$

$\underline{x}$  generator,  $\underline{X}$  set of "X"s,  $\underline{O}$  set of "O"s on the grid

Maslov grading:  $M(\underline{x}) = \mathbb{Y}(\underline{x} - \underline{O}, \underline{x} - \underline{O}) + 1$

Alexander grading:  $A(\underline{x}) = \frac{1}{2} \left( \mathbb{Y}(\underline{x} - \underline{O}, \underline{x} - \underline{O}) - \mathbb{Y}(\underline{x} - \underline{X}, \underline{x} - \underline{X}) - (N-1) \right)$

e.g.:



$$M(\underline{x}) = 1$$

$$A(\underline{x}) = -3$$

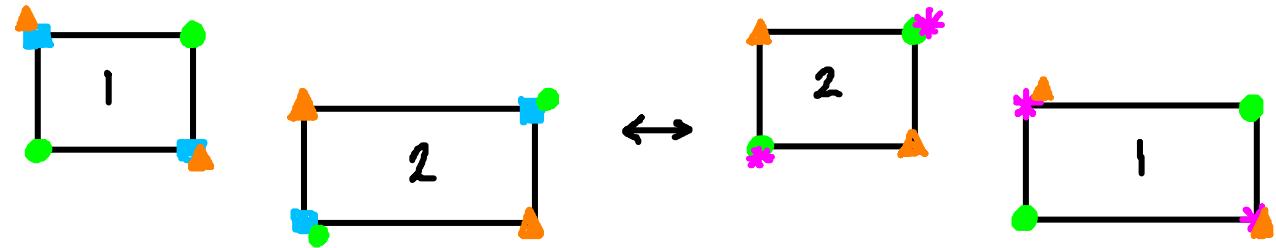
## $(\hat{CFK}, \hat{\partial})$ IS A CHAIN COMPLEX

Need to prove  $\hat{\partial}^2 = 0$

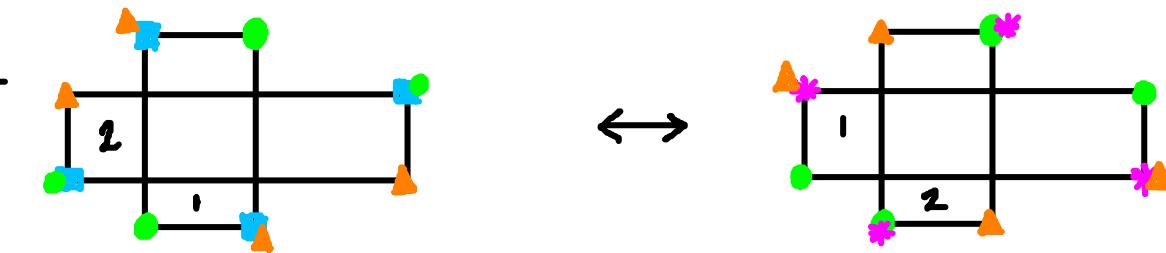
$$\hat{\partial}^2 x = \hat{\partial} \left( \sum_{\exists \text{ empty rectangle } z \rightarrow y \text{ } 4} 4 \right) = \sum_{\exists \text{ empty rectangle } z \rightarrow y} \left( \sum_{\exists \text{ empty rectangle } y \rightarrow z \text{ } z} z \right)$$

the coefficient of  $z$  is given by # 2 empty rectangles  $x \rightarrow y \rightarrow z$

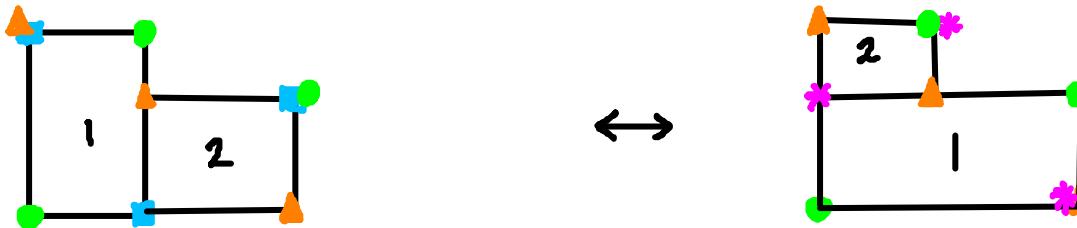
→ disjoint rectangles



→ the interiors intersect



→ common corner



→  $\hat{\partial}^2 = 0$  over  $\mathbb{F}_2$

Thm (Manolescu - Ozsváth - Sarkar)  $H_*(\hat{CFK}, \hat{\partial}) \cong \hat{HFK}(K) \otimes V^{\otimes N-1}$

where  $V = (\mathbb{F}_2)_{0,0} \oplus (\mathbb{F}_2)_{(-1,-1)}$

invariant of the knot